

## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of  
Invention

Operating System Upgrades in a Trusted Operating System  
Environment

Application Number: 09/993373

Confirmation Number: 5760

First Named Applicant: Paul England

Attorney Docket Number: \_

Art Unit: 2134

Examiner: Gregory Morse

Search string: ( 4827508 or 4969189 or 4977594 or 5023907  
or 5050213 or 5140634 or 5276311 or 5335334  
or 5410598 or 5473690 or 5473692 or 5491827  
or 5544246 or 5557518 or 5654746 or 5664016  
or 5671280 or 5721781 or 5745886 or 5757919  
or 5796824 or 5812662 or 5812980 or 5841869  
or 5872847 or 5892900 or 5892902 or 5892904  
or 5910987 or 5915019 or 5917912 or 5920861  
or 5919257 or 5933498 or 5940504 or 5943422  
or 5944821 or 5949876 or 5953502 or 5958050  
or 5963980 or 5982891 or 5991399 or 5991876  
or 6006332 or 6009274 or 6009401 or 6026166  
or 6032257 or 6038551 ).pn.



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### US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents


init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
rw	1	4827508	1989-05-02	Shear			
	2	4969189	1990-11-06	Ohta et al.			
	3	4977594	1990-12-11	Shear			
	4	5023907	1991-06-11	Johnson et al.			
	5	5050213	1991-09-17	Shear			
	6	5140634	1992-08-18	Guillou et al.			
	7	5276311	1994-01-04	Hennige			
	8	5335334	1994-08-02	Takahashi et al.			
g	9	5410598	1995-04-25	Shear			

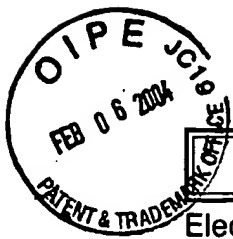
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13	5544246	1996-08-06	Mandelbaum et al.
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40	5958050	1999-09-28	Griffin et al.
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Signature

Examiner Name	Date
	12/2/00



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Search string: ( 6073124 or 6092189 or 6105137 or 6112181  
or 6118873 or 6138119 or 6148402 or 6148387  
or 6157721 or 6175917 or 6185683 or 6185678  
or 6189100 or 6192473 or 6212636 or 6223284  
or 6229894 or 6230285 or 6237786 or 6240185  
or 6253193 or 6263431 or 6272629 or 6292569  
or 6327652 or 6330588 or 6338139 or 6341373  
or 6363486 or 6363488 or 6367012 or 6381741  
or 6389402 or 6389537 or 6427140 or 6449367  
or 6477252 or 6477648 or 6480961 or 6560706  
or 5787427 or 20010025281 or 20020007452 or  
20020069365 or 20020107803 or 20020120936  
or 20020152173 ).pn.



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## US Patent Documents


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init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
✓	1	6073124	2000-06-06	Krishnan et al.			
✓	2	6092189	2000-07-18	Fisher et al.			
✓	3	6105137	2000-08-15	Graunke et al.			
✓	4	6112181	2000-08-29	Shear et al.			
✓	5	6118873	2000-09-12	Lotspeich et al.			
✓	6	6138119	2000-10-24	Hall et al.			
✓	7	6148402	2000-11-14	Campbell			
✓	8	6148387	2000-11-14	Galasso et al.			
✓	9	6157721	2000-12-05	Shear et al.			

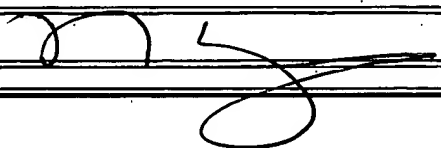
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27	6338139	2002-01-08	Ando et al.
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40	6560706	2003-05-06	Carbajal et al.
41	5787427	1998-07-28	Benantar et al.

## US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
<input checked="" type="checkbox"/>	1	20010025281	2001-09-27	Hirayama			
<input type="checkbox"/>	2	20020007452	2002-01-17	Traw et al.			
<input type="checkbox"/>	3	20020069365	2002-06-06	Howard et al.			
<input type="checkbox"/>	4	20020107803	2002-08-08	Lisanke et al.			
<input type="checkbox"/>	5	20020120936	2002-08-29	Del Beccaro et al.			
<input checked="" type="checkbox"/>	6	20020152173	2002-10-17	Rudd			

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Examiner Name	Date
	12/11/08



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Substitute for form 1449B/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>		Application Number	09/993,373
		Filing Date	11/16/2001
		First Named Inventor	England et al.
		Group Art Unit	2134
		Examiner Name	Morse, G.
		Attorney Docket Number	MS1-954US
Sheet	2	of	3

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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
m		"Facing an Internet Security Minefield, Microsoft Hardens NT Server Defenses", Young R., Windows Watcher, 09/12/97, Vol. 7, Issue 9, p1, 6p, 1 chart.	
		"Phoenix Technologies Partners with Secure Computing in Enterprise Security Marketplace", July 12, 2001, Business Wire, Courtesy of Dialog Text Search, Pg. 1-2.	
		Murphy et al., "Preventing Piracy: Authorization Software May Ease Hollywood's Fear of the Net", Internet World Magazine, April 1, 2000, 3 pages.	
		"Internet Security: SanDisk Products and New Microsoft Technology Provide Copy Protected Music for Internet Music Player Market. (Product Announcement)", Edge: Work Group Computing Report, April 19, 1999, 2 pages.	
		Arbaugh et al., "A Secure and Reliable Bootstrap Architecture", Distributed Systems Laboratory, Philadelphia, PA, 1997, pp. 65-71.	
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		Schneier, B., "Applied Cryptography", Applied Cryptography. Protocols, Algorithms, and Source Code in C, 1996, pp. 574-577.	
		"Secure Management Information Exchange", Bhatti et al., Journal of Network and Systems Management, Vol. 4, No. 3, 1996, pages 251-277.	

Examiner Signature	Date Considered 10/12/02
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<b>Application Number</b>	09/993,373
<b>Filing Date</b>	11/16/2001
<b>First Named Inventor</b>	England et al.
<b>Group Art Unit</b>	2134
<b>Examiner Name</b>	Morse, G.
<b>Attorney Docket Number</b>	MS1-954US

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